

ADV 2B - Brochure Supplement

STEPHEN E REPAK JR

7540 MATTHEWS MINT HILL ROAD
CHARLOTTE, NC 28227
Phone: 7045459771 Ext. 00000
Fax: 7045450946

Sagepoint Financial

2800 North Central Avenue
Suite 2100
Phoenix, AZ 85004
Phone: 800-552-3319 ext 2606

July 31, 2011

This brochure supplement provides clients with information about STEPHEN REPAK that supplements the Sagepoint Financial disclosure brochure. Please contact Richard Daren Johnson, OSJ Manager at 323-658-7733 or Sagepoint Financial at the firm phone number above, if you did not receive a copy of the Sagepoint Financial disclosure brochure or if you have any questions about the contents of this brochure supplement. Additional information about STEPHEN REPAK is available on the SEC's website at www.adviserinfo.sec.gov.

EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

STEPHEN E REPAK JR

Year of Birth: 1967

Education

Amridge University, Bachelors Degree, Management Communication Summa Cum Laude, 2007

Business Experience

Registered Representative, Sagepoint Financial, Inc 2009-Present

Registered Representative, AIG Financial Advisors, Inc 2005-2009

Registered Representative, Sunamerica Securities, Inc 2002-2005

Professional Licenses/Designations

Series 6 - Investment Company and Variable Contracts Exam (Mutual Funds/Variable Annuities)

To obtain the Series 6 an initial qualifying exam administered by the Financial Industry Regulatory Authority ("FINRA") must be passed. Continuing Education requirement include attending an Annual Compliance Meeting and Firm Element Training. Also required to be taken is a computer based program within 120 days of the second anniversary of obtaining the registration and every three years thereafter.

Series 7 - General Securities Representative Exam (Stockbroker)

To obtain the Series 7 an initial qualifying exam administered by the Financial Industry Regulatory Authority ("FINRA") must be passed. Continuing Education requirement include attending an Annual Compliance Meeting and Firm Element Training. Also required to be taken is a computer based program within 120 days of the second anniversary of obtaining the registration and every three years thereafter.

Series 63 - Uniform Securities Agent State Law Exam

To obtain the Series 63 an initial qualifying exam administered by the Financial Industry Regulatory Authority ("FINRA") must be passed. Continuing Education requirement include attending an Annual Compliance Meeting and Firm Element Training. Also required to be taken is a computer based program within 120 days of the second anniversary of obtaining the registration and every three years thereafter.

Series 65 - Uniform Registered Investment Adviser Law Exam (RIA)

To obtain the Series 65 an initial qualifying exam administered by the Financial Industry Regulatory Authority ("FINRA") must be passed. Continuing Education requirement include attending an Annual Compliance Meeting and Firm Element Training. Also

required to be taken is a computer based program within 120 days of the second anniversary of obtaining the registration and every three years thereafter.

Certified Financial Planner (CFP®)

Important Information About the Certified Financial Planner (CFP®) Designation: The Certified Financial Planner (CFP®) certification represents proven expertise within the financial planning profession. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates for the CFP® designation must pass a certification exam administered by the Certified Financial Planner Board of Standards Inc. that focuses on over 100 topics of concern to the financial planning field, such as retirement, estate, and investment planning. In addition to passing the CFP® certification exam, candidates must also complete qualifying work experience (three years full-time or equivalent part-time experience in the financial planning field) and agree to adhere to the CFP® Board's code of ethics and professional responsibility and financial planning standards.

DISCIPLINARY INFORMATION

Disclosable: Yes

Reportable: Yes

Source: Organization CRD# 133763

Form Type: U4

Disclosure Questions: 14I(1)(c)

Customer Name: BARBARA CURRIN

Customer State of Residence: NC

Employing Firm When Activity Occurred: SUNAMERICA SECURITIES, INC.

Complaint Date: 01/05/2004

Allegations: CLAIMANT ALLEGES REPRESENTATIVE FAILED TO DISCUSS THE DEATH BENEFIT ON AN ANNUITY.

Principal Product Type: Annuity(ies) i½ Fixed

Compensatory Damages: \$31,581.00

Currently Pending: No

Status: Arbitration

Status Date: 12/13/2004

Arbitration/Reparation Detail

Claim Filed: NASDDR CASE NUMBER 04-06777

Notice Served: 12/13/2004

Currently Pending: No

Disposition: Settled

Disposition Date: 11/22/2005

Compensation Amount: 16500.00

Individual Contribution: 0.00

Comments: RESPONDENT SUNAMERICA SECURITIES, INC. SETTLED THIS MATTER WITHOUT ADMITTING OR DENYING THE ALLEGATIONS. SETTLEMENT WAS FOR ECONOMIC REASONS. REPRESENTATIVE REPAK HAD NO LIABILITY ATTACH AND WAS RELEASED FROM ALL CLAIMS. REP DI DNOT CONTRIBUTE ANY MONIES TO THE SETTLEMENT.

OTHER BUSINESS ACTIVITIES

I have no other business activities to report.

ADDITIONAL COMPENSATION

I am a Registered Representative of Sagepoint Financial, which may offer me

educational, training and incentive programs if certain sales levels are met.

I may invest in mutual funds that participate in the Focus Elite and FundVest Programs, provided by my affiliated Broker Dealer. In these programs, transaction charges that I may ordinarily bear for purchasing these securities in your account may be reduced or waived.

Certain Third Party Advisory Service Programs ("Advisory Service Programs") that I may offer you provide me with the opportunity to attend training or education conferences. Advisory Service Programs are limited to reimbursing me for travel, meals and lodging expenses incurred for attending the training or education conference. Further, if I present the Advisory Service Programs products or services during seminars or presentations that I compose, I may be reimbursed for advertising or marketing expenses associated with the seminar or presentation.

As outlined above, you should be aware of the incentives I have to sell securities and also provide advisory services. You are encouraged to ask me about any conflicts presented so that you can make an informed decision if this purchase is in your best interest.

SUPERVISION

The individual responsible for supervising STEPHEN REPAK is Richard Daren Johnson, OSJ Manager. Richard Daren Johnson may be reached at 323-658-7733.